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October 27, 2003

**Mail Stop AMENDMENT**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Re: U.S. Non-Provisional Patent Application No. 09/536,663  
Filed: March 27, 2000  
For: **Determining Intra-Day Net Asset Value Proxy of  
an Actively Managed Exchange Traded Fund**  
Inventors: Gary L. GASTINEAU et al.  
Our Ref: 00322.0006.00US00

Sir:

The following documents are forwarded herewith for appropriate action by the U.S.  
Patent and Trademark Office:

1. Petition for Extension of Time (in duplicate);
2. Reply Under 37 C.F.R. § 1.111; and
3. Return postcard.

**RECEIVED**

OCT 30 2003

**GROUP 3600**

It is respectfully requested that the attached postcard be stamped with the filing date of these documents and returned to our courier.

The U.S. Patent and Trademark Office is hereby authorized to charge \$110.00 fee for a one-month extension of time, as well as any fee deficiency, or credit any overpayment to our Deposit Account No.08-3038 referencing docket number 00322.0006.00US00. If extensions of time under 37 C.F.R. § 1.136 other than those otherwise provided for herewith are required to prevent abandonment of the present patent application, then such extensions of time are hereby petitioned. *A duplicate copy of this letter is enclosed.*

Respectfully submitted,

Michael J. Bell (Reg. No. 39,604)

Michael J. Stimson (Reg. No. 45,429)

Enclosures